

**Original citation:**

Pinna, C., Lan, Y., Kiu, M. F., Efthymiadis, P., Lopez-Pedrosa, M. and Farrugia, D.. (2015)  
Assessment of crystal plasticity finite element simulations of the hot deformation of metals  
from local strain and orientation measurements. International Journal of Plasticity, 73 . pp.  
24-38.

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# Assessment of crystal plasticity finite element simulations of the hot deformation of metals from local strain and orientation measurements



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## ARTICLE INFO

### Article history:

Received 19 August 2014

Received in revised form 5 May 2015

Available online 18 June 2015

### Keywords:

A. Thermomechanical processes

B. Crystal plasticity

B. Finite strain

C. Finite elements

Strain measurements

## ABSTRACT

Simulations of the deformation of microstructures at high homologous temperatures have been carried out using a Crystal Plasticity Finite Element (CPFE) model to predict texture and grain structure deformation in Face-Centred-Cubic (FCC) metals deformed under conditions representative of hot forming operations. Results show that the model can quantitatively predict the location and intensity of the main deformation texture components of a AA5052 aluminium alloy deformed at 300 °C under Plane Strain Compression (PSC). Simulations also reasonably predict the range of strain values measured using microgrids in the microstructure of a Fe-30wt%Ni alloy deformed at 1000 °C using a new experimental procedure. However, the model fails to reproduce accurately intra-granular strain distribution patterns. Results at room temperature, after a tensile test carried out inside a Scanning Electron Microscope (SEM) on the same model alloy, show a much closer match between simulation and experimental results. Despite discrepancies for some local deformation features, the model predicts the formation of intense deformation bands running at 45° with respect to the tensile axis and located along the same grain boundaries as in the experiment. Results, therefore, highlight the limitations of deterministic CPFE simulations for situations where the grain size to sample thickness ratio is small and for which the sub-surface grain geometry strongly affects surface strains. They also show that reliable predictions of the statistical response of a polycrystalline aggregate can be obtained for the hot deformation of metals which controls microstructure evolution during the processing of metals.

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## 1. Introduction

The mechanical properties of metals such as steels and aluminium alloys are dependent on their processing conditions and are a direct result of the microstructure evolution taking place during both hot and cold forming operations. For instance, recrystallisation occurring at high homologous temperature during a hot forming process such as industrial rolling followed by phase transformation upon subsequent cooling affect both the grain size and texture of metals. The numerical simulation of microstructure evolution during such process is, therefore, of paramount importance for the metal industry, with the aim of reducing production cost by predicting the properties of the final product. Microstructure-based simulations of recrystallisation and/or phase transformation using techniques such as Cellular Automata (Lan et al., 2005; Popova et al., 2015; Rollett and Raabe, 2001; Zheng and Raabe, 2013), Monte-Carlo (Radhakrishnan et al., 1998; Zhang et al., 2012) or Phase-Field (Lan and Pinna, 2012; Takaki and Tomita, 2010; Takaki et al., 2014) have been developed in recent years for microstructure and recrystallisation texture prediction at high temperature. Such physical phenomena are affected by the energy stored in the microstructure during deformation and, therefore, the accurate prediction of strain and stress distributions in these microstructures is key to the successful simulation of subsequent microstructure evolution. Crystal Plasticity Finite Element (CPFE) models of the deformation of polycrystalline metals are particularly well suited for the coupling with the numerical techniques mentioned above as they predict intra-granular state variable distributions which can then be used as input for recrystallisation/phase transformation simulations. Improved computing performance over the past decade has enabled the development of statistically meaningful simulations of the deformation of grain structures in metals using CPFE models. These models have been used extensively in published research studies for the prediction of deformation texture and mechanical response of metals at both room (Alharbi and Kalidindi, 2015; Bachu and Kalidindi, 1998; Erinosho et al., 2013; Gerard et al., 2013; Kalidindi et al., 2009; Khan et al., 2015; Sabnis et al., 2013; Sarma and Dawson, 1996; Tamini et al., 2014; Van Houtte et al., 2002; Zhang et al., 2015b) and elevated temperatures (Li et al., 2004; Quey et al., 2012) with good agreement with experimental measurements. They have also been used to simulate the deformation of grain structures at room temperature for polycrystals (Heripre, 2007; Kanjarla et al., 2010; Musienko et al., 2007; Pokharel et al., 2014; Raabe et al., 2001; Rossiter et al., 2010; Rotters et al., 2010; St-Pierre et al., 2008) but comparison with intra-granular strain measurements usually only shows qualitative agreement with clear local discrepancies between modelling and experimental results. Better agreement is usually obtained for the deformation of oligocrystals (Delaire et al., 2000; Kalidindi et al., 2004; Klusemann et al., 2012, 2013; Lim et al., 2011, 2014; Turner et al., 2013; Zhao et al., 2008) for which the geometry of the grain structure is better represented in three dimensions in the simulations.

Studies focussing on similar prediction of intra-granular deformation of metals at high homologous temperatures are scarce due to the difficulty of measuring strain distributions at that scale, especially under large deformation conditions typical of hot forming operations, in order to validate the models.

Several experimental techniques have been developed to measure strain distributions at the scale of microstructures. Methods based on Digital Image Correlation (DIC) (Sutton et al., 1991) are now commonly used but most studies have been carried out at room temperature, usually by combining DIC with in-situ testing conducted inside the chamber of a SEM (e.g. Ghadbeigi et al., 2012 for large deformation applications). One such study has been carried out at 950 °C recently (Torres et al., 2014). However, deformation conditions from the tensile test carried out in the latter study are not representative of the large compression experienced by metals in a hot rolling process. An alternative technique based on microgrids used in a PSC test carried out at 950 °C has enabled the measurement of strain distributions within the two-phase microstructure of a Duplex steel (Martin et al., 2013). However, the grids had to be engraved through chemical etching to ensure successful results, which affected strain resolution measurements and potentially subsequent microstructure evolution due to the grooves generated at the surface of the metal. Furthermore, the study did not include local orientation measurements at the microstructural scale.

The aim of this work is, therefore, to develop a CPFE model for the simulation of the hot deformation of single phase Face-Centred-Cubic (FCC) metals, such as aluminium alloys or steels rolled in their austenitic range during hot rolling, and assess the reliability of the results through comparison with experimental measurement of local strains and orientations. A new procedure has, therefore, been developed to measure both local intra-granular strain distributions and orientations in the microstructure of a single-phase steel deformed at 1000 °C under conditions representative of hot forming operations.

## 2. Experimental procedure

Experiments have been carried out at both high homologous and room temperatures in order to assess the reliability of CPFE predictions at various scales and temperatures. Statistical prediction from the model has been assessed through comparison with texture measurements, using Electron-Back-Scattering-Diffraction (EBSD), following a PSC test carried out at 300 °C on an aluminium alloy AA5052. A PSC sample 60 mm long along the rolling direction (RD), 50 mm wide along the transverse direction (TD) and 10 mm thick along the normal direction (ND) of the as-received hot rolled plate was heated from room temperature to 300 °C with a heating rate of 10 °C s<sup>-1</sup> and soaked at this temperature for 10 s. The sample was then deformed in air to a thickness reduction of 60% with a strain rate of 9.0 s<sup>-1</sup> using a state-of-the-art 500 kN Servotest thermo-mechanical-compression (TMC) machine capable of applying controlled strain rates up to 200 s<sup>-1</sup>. A schematic drawing of the PSC test is shown in Fig. 1(a). The recommended aspect ratios to ensure plane strain conditions are:  $b_0/w \geq 2$ ,  $h_0/w \leq 0.67$  and  $l/w \geq 3$ , with  $b_0$  and  $h_0$  being the initial breadth and thickness of the specimen before deformation,  $w$  the width of the platen

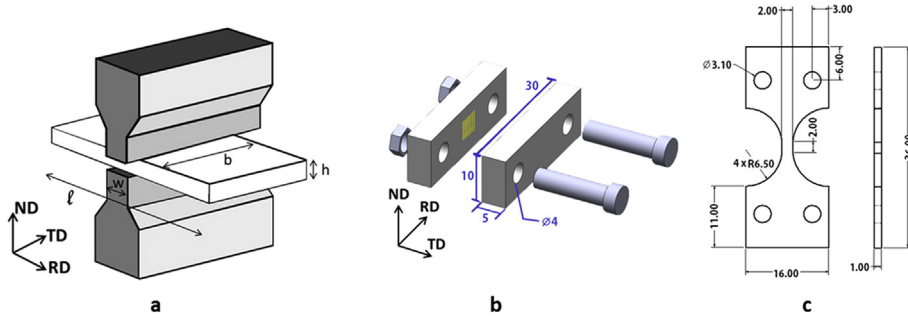


Fig. 1. (a) Plane Strain Compression (PSC) test geometry, (b) PSC specimen geometry for steel (units in mm) and (c) tensile specimen geometry.

and  $l$  the length of the specimen along RD (Loveday et al., 2006). Platens applying the compression are 15 mm wide. The undeformed parts of the specimen on both sides of the compression zone restrict deformation along the breadth direction ensuring plane strain conditions away from external surfaces.

Graphite is commonly used as lubricant between the specimen and platens for temperatures below 800 °C. A friction coefficient of 0.1 was estimated (Loveday et al., 2006) for the friction correction used to compute flow stress values from the measured average pressure  $\bar{p}$  applied by the platens to the specimen. This correction procedure (Silk and van der Winden, 1999) firstly assumes partially sticking/sliding conditions along the contact line between specimen and platen and calculates the position  $z_0$  from the edge of the anvil of the boundary between sticking and sliding conditions using the following equation:

$$z_0 = \left( \frac{h}{2\mu} \right) \ln \left( \frac{1}{2\mu} \right) \quad (1)$$

The value of  $z_0$  is then used to determine which friction conditions prevail and the shear flow stress  $k$  is then calculated from the measured values of  $\bar{p}$  using the following equations:

If  $2z_0 > w$  (sliding friction)

$$\frac{\bar{p}}{2k} = \frac{1}{bw} \left[ \frac{2h^2}{\mu^2} + \frac{(b-w)h}{\mu} \right] \left[ \exp \left( \frac{\mu w}{h} \right) - 1 \right] - \frac{2h}{\mu b} \quad (2)$$

If  $w > 2z_0 > 0$  (partial sticking friction)

$$\frac{\bar{p}}{2k} = \frac{h}{\mu w} \left( \frac{1}{2\mu} - 1 \right) + \frac{\left( \frac{w}{2} \right) - z_0}{\mu w} + \frac{\left[ \left( \frac{w}{2} \right) - z_0 \right]^2}{hw} + \frac{1}{\mu b} \left( \frac{2z_0^2}{w} - z_0 - \frac{2hz_0}{\mu w} + \frac{h}{2\mu} - h + \frac{h^2}{w\mu^2} - \frac{2h^2}{\mu w} \right) + \frac{1}{hb} \left( z_0^2 - \frac{4z_0^3}{3w} - \frac{w^2}{12} \right) \quad (3)$$

If  $0 > 2z_0$  (sticking friction)

$$\frac{\bar{p}}{2k} = 1 + \frac{w}{4h} - \frac{w^2}{12hb} \quad (4)$$

The equivalent flow stress is then given by

$$\sigma = 2k/f \quad (5)$$

with  $f$  relating the true compression strain along the normal direction  $3(\text{ND})$ ,  $\epsilon_3 = \ln(h/h_0)$ , to the equivalent von Mises strain

$$\bar{\epsilon} = -f\epsilon_3 \quad (6)$$

The factor  $f$  accounts for lateral spread along direction 2 (TD),  $\epsilon_2 = \ln(b/b_0)$ , with values varying between 1.155 under pure plane strain conditions and 1 for axisymmetric conditions ( $\epsilon_1 = \epsilon_2$ ).

The specimen was immediately quenched to room temperature after compression using water. Deformation texture measurements were then performed on the (RD,TD) plane at mid-thickness of the specimen after careful mechanical grinding. The analysed area was  $3.3 \times 3.4$  mm with a step size of  $2 \mu\text{m}$  for an average grain size of about  $100 \mu\text{m}$ . The same conditions were used on the as-received plate to measure the starting texture at mid-thickness. Further tests have been

carried out to assess CPFE results at the grain scale. High temperature compression tests were conducted on a Fe-30wt%Ni alloy using a Gleeble 3800 machine whose test geometry is the same as that shown in Fig. 1(a) but the platens' width  $w$  in this case was only 5 mm. Unlike the TMC machine, tests on the Gleeble are conducted under vacuum to prevent oxidation problems. This steel is a non-transformable model alloy produced by Tata Steel to simulate the behaviour of austenite at high temperature in C–Mn steels and was used to preserve, upon cooling, microgrids laid at the surface of the specimen to measure strain distributions in the microstructure. Gold microgrids with a  $24.5\ \mu\text{m}$  pitch size and covering a  $2.5\ \text{mm}^2$  area of the microstructure were deposited using Electron Beam Lithography (EBL), following the procedure described in Ghadbeigi et al. (2012), along the middle (RD,ND) plane of the particular geometry of the specimen shown in Fig. 1(b). This geometry was designed to be as close as possible to that recommended for generating plane strain conditions, especially on the plane where the grids are located, taking into consideration constraints on maximum specimen's dimensions allowable in the SEM chamber during the microgrid deposition process, and to make surface preparation conditions (e.g. fine polishing required on the microgrid plane) experimentally practical with a specimen thickness of 10 mm. Prior to microgrid deposition the surface was first electro-polished for grain orientation measurements using EBSD (with a step size of  $3\ \mu\text{m}$ ) and then chemically etched to reveal grain boundaries. The surface of the second part of the specimen, which comes into contact with the microgrid plane, when the two parts of the sample are assembled using screws (Fig. 1(a)), was mechanically polished down to  $1\ \mu\text{m}$ . The specimen was then heated up to  $1000\ ^\circ\text{C}$  in 3 min and then held at this temperature for 1 min before being deformed with 15% nominal compression applied at a strain rate of  $1\ \text{s}^{-1}$ . No lubricant was used during this test to prevent any deterioration of the surface where microgrids are located, through penetration of lubricant particles during deformation. Extreme care must indeed be taken, especially at such high temperature, to avoid damaging or covering microgrids with any deposit. A friction coefficient of 0.4 was assumed for the test. The sample was then slowly cooled down to room temperature. Tensile tests at room temperature have also been carried out on the same Fe-30wt%Ni alloy inside the chamber of a SEM using a 5 kN Deben loading stage. The specimen geometry is shown in Fig. 1(c). A total strain of 10% was applied with a displacement rate of  $0.1\ \text{mm/min}$ . The test was interrupted before reaching the ultimate tensile strength of the steel in order to minimise any damage formation. Intra-granular strain distributions have been measured using microgrids, with  $18.3\ \mu\text{m}$  pitch size and covering a  $1.5\ \text{mm}^2$  area along the gauge length of the specimen. The same procedure for EBSD measurements as for high temperature tests was used for this specimen.

### 3. Crystal plasticity finite element model

The model developed in this work is based on the classical formulation developed by Asaro and Rice (1977). The key equations are only reported here. For finite deformation, the total deformation gradient  $\mathbf{F}$  is multiplicatively decomposed into two components:

$$\mathbf{F} = \mathbf{F}^* \mathbf{F}^P \quad (7)$$

with  $\mathbf{F}^*$  the elastic deformation gradient which includes both stretching and rotation of a crystal lattice and  $\mathbf{F}^P$  the plastic deformation gradient assumed to result from the slip of dislocations along specific directions and crystallographic planes. The velocity gradient  $\mathbf{L} = \dot{\mathbf{F}}\mathbf{F}^{-1}$  can also be decomposed into an elastic part  $\mathbf{L}^*$  and a plastic part  $\tilde{\mathbf{L}}^P$  as follows:

$$\mathbf{L} = \mathbf{L}^* + \mathbf{F}^* \tilde{\mathbf{L}}^P \mathbf{F}^{*-1} \quad (8)$$

with  $\mathbf{L}^* = \dot{\mathbf{F}}^* \mathbf{F}^{*-1}$ ,  $\tilde{\mathbf{L}}^P = \dot{\mathbf{F}}^P \mathbf{F}^{P-1}$  and the superscript “ $-1$ ” denoting the inverse of a matrix. The plastic part of the velocity gradient, which is related to the slip rate  $\dot{\gamma}^\alpha$  on a slip system  $\alpha$ , is calculated by

$$\tilde{\mathbf{L}}^P = \sum_{\alpha=1}^N \dot{\gamma}^\alpha \mathbf{b}_0^\alpha \otimes \mathbf{n}_0^\alpha \quad (9)$$

where  $\mathbf{b}_0^\alpha$  and  $\mathbf{n}_0^\alpha$  are the slip direction and the normal to the slip plane in the un-deformed configuration respectively, “ $\otimes$ ” denotes the dyadic products of two vectors and  $N$  the total number of potential slip systems. The slip direction and the normal to the slip plane in the deformed configuration are  $\mathbf{b} = \mathbf{F}^* \mathbf{b}_0$  and  $\mathbf{n} = \mathbf{n}_0 \mathbf{F}^{*-1}$ . The lattice rotation during plastic deformation is described using the rates of change of both the slip direction and the normal to the slip plane as follows:

$$\dot{\mathbf{b}}^\alpha = \mathbf{L}^* \mathbf{b}^\alpha \quad (10)$$

and

$$\dot{\mathbf{n}}^\alpha = -\mathbf{n}^\alpha \mathbf{L}^{*T} \quad (11)$$

The shear rate  $\dot{\gamma}^\alpha$  on the slip system  $\alpha$  is determined by the resolved shear stress  $\tau^\alpha$  and the critical resolved shear stress  $\tau_c^\alpha$  through a power law (Asaro, 1983; Asaro and Needleman, 1985):

$$\dot{\gamma}^\alpha = \dot{\gamma}_0^\alpha \text{sgn}(\tau^\alpha) \left| \frac{\tau^\alpha}{\tau_c^\alpha} \right|^{1/m} \quad (12)$$

where  $\dot{\gamma}_0^\alpha$  is the reference shear rate on the slip system  $\alpha$ ,  $m$  is the rate sensitivity exponent and  $\text{sgn}()$  is the sign function. Strain hardening is characterised by the evolution of the critical resolved shear stress. Both phenomenological and physically-based hardening models have been used in this work to describe the evolution of the critical resolved shear stress in AA5052 and Fe-30wt%Ni subjected to different deformation conditions.

### 3.1. Peirce model (Peirce et al., 1982)

The phenomenological model developed by Peirce et al. calculates the critical resolved shear stress using the following linear hardening law

$$\dot{\tau}_c^\alpha = \sum_{\beta=1}^N h_{\alpha\beta} \dot{\gamma}^\beta \quad (13)$$

with  $h_{\alpha\beta}$  the hardening modulus including self-hardening ( $\alpha = \beta$ ) and latent hardening ( $\alpha \neq \beta$ ). Many phenomenological models have been developed to calculate the hardening modulus. The model suggested by Peirce et al. (1982) is used in this work:

$$h_{\alpha\beta} = q_{\alpha\beta} \left[ h_0 \sec h^2 \left( \left| \frac{h_0 \gamma}{\tau_s - \tau_0} \right| \right) \right] \quad (14)$$

where  $h_0$  is the initial hardening modulus,  $\tau_0$  and  $\tau_s$  are the initial and saturation critical resolved shear stresses, respectively,  $\gamma = \sum_{\alpha=1}^N \int_0^t |\dot{\gamma}^\alpha| dt$  the cumulative shear strain on all active slip systems, and  $q_{\alpha\beta}$  the ratio of latent to self-hardening moduli.  $q_{\alpha\beta}$  is set to be 1.4 for latent hardening and 1.0 for self-hardening.

In the Peirce model, only three parameters ( $h_0$ ,  $\tau_0$  and  $\tau_s$ ) need to be identified using one measured stress–strain curve. The calibration process is, therefore, relatively straightforward. However, all the parameters mentioned above are dependent on material, deformation temperature and strain rate. Therefore the Peirce model cannot predict the hardening behaviour under any other deformation and temperature conditions if measured stress–strain curves are not available. A more physically-based hardening model, directly dependent on temperature and strain rate, is presented in the following section.

### 3.2. Tomé model (Beyerlein and Tomé, 2008; Knezevic et al., 2013, 2014)

The hardening model developed by Beyerlein et al. uses dislocation densities as variables to calculate the critical resolved shear stress. The density of forest dislocations is determined through equation (15) by the storage rate due to plastic deformation and the thermally activated annihilation rate, e.g., dynamic recovery (Mecking and Kocks, 1981):

$$\frac{\partial \rho_{\text{for}}^\alpha}{\partial \gamma^\alpha} = \frac{\partial \rho_{\text{gen}}^\alpha}{\partial \gamma^\alpha} - \frac{\partial \rho_{\text{rec}}^\alpha}{\partial \gamma^\alpha} = \mathbf{k}_1(\dot{\epsilon}, \mathbf{T}) \sqrt{\rho_{\text{for}}^\alpha} - \mathbf{k}_2(\dot{\epsilon}, \mathbf{T}) \rho_{\text{for}}^\alpha \quad (15)$$

with  $\mathbf{k}_1(\dot{\epsilon}, \mathbf{T})$  and  $\mathbf{k}_2(\dot{\epsilon}, \mathbf{T})$  dependent on material, deformation temperature and strain rate.  $\mathbf{k}_1(\dot{\epsilon}, \mathbf{T})$  is determined by the slope of the stress–plastic strain curve at the start of plastic deformation. The ratio  $\mathbf{k}_2/\mathbf{k}_1$  depends on the saturation stress and is given by

$$\frac{\mathbf{k}_2^\alpha}{\mathbf{k}_1^\alpha} = \frac{\chi^\alpha \mathbf{b} \mu}{\tau_{\text{sat}}^\alpha(\dot{\epsilon}, \mathbf{T})} = \frac{\chi^\alpha \mathbf{b}}{\mathbf{g}^\alpha} \left[ 1 - \frac{\mathbf{k} \mathbf{T}}{\mathbf{D}^\alpha \mathbf{b}^3} \ln \left( \frac{\dot{\epsilon}}{\dot{\epsilon}_0} \right) \right] \quad (16)$$

where  $\chi^\alpha$  is the dislocation interaction parameter which varies between 0.05 and 2.6,  $\mathbf{b}$  ( $2.85 \times 10^{-10}$  m (Knezevic et al., 2013)) is the magnitude of the Burgers vector,  $\mu$  the shear modulus,  $\tau_{\text{sat}}^\alpha$  the saturation stress,  $\mathbf{g}^\alpha$  the effective activation energy,  $\mathbf{k}$  ( $1.38 \times 10^{-23}$  JK<sup>-1</sup>) the Boltzmann constant,  $\mathbf{T}$  the temperature in Kelvin,  $\mathbf{D}^\alpha$  a drag stress,  $\dot{\epsilon}$  the strain rate and  $\dot{\epsilon}_0$  the reference strain rate. Some of the dislocations are rearranged to form deformation substructures, e.g. sub-grain boundaries and deformation cell walls, during plastic deformation. The density of those dislocations stored in deformation substructures is calculated as follows:

$$\frac{d\rho_{\text{sub}}}{d\gamma^\alpha} = \sum_{\alpha} \mathbf{q}^\alpha \mathbf{E}^\alpha \mathbf{b} \sqrt{\rho_{\text{sub}}} \frac{\partial \rho_{\text{rec}}^\alpha}{\partial \gamma^\alpha} \quad (17)$$



here  $q^\alpha$  (10.0 (Knezevic et al., 2014)) is a rate coefficient and the product of  $E^\alpha$  (1.0 (Knezevic et al., 2014)) and  $b\sqrt{\rho_{sub}}$  reflects the fraction of dislocations forming substructures from the forest dislocations removed due to softening (second term in the right side of equation (15)).

The stresses arising from the forest dislocations and from the dislocations forming deformation substructures are

$$\tau_{for}^\alpha = b\mu\sqrt{\sum \chi^\alpha \rho_{for}^\alpha} \quad (18)$$

$$\tau_{sub}^\alpha = k_{sub}b\mu\sqrt{\rho_{sub}}\log\left(\frac{1}{b\sqrt{\rho_{sub}}}\right) \quad (19)$$

with  $k_{sub}$  (0.086, Knezevic et al., 2014) an empirical parameter. Therefore, the total critical resolved shear stress is the sum of the above two stresses and the initial slip resistance:

$$\tau_c^\alpha = \tau_0^\alpha + \tau_{for}^\alpha + \tau_{sub}^\alpha \quad (20)$$

where the initial critical resolved shear stress  $\tau_0^\alpha$  is dependent on material, deformation temperature and strain rate.  $\tau_0^\alpha$  is determined by the yield strength and calculated using

$$\tau_0^\alpha(\dot{\epsilon}, T) = A^\alpha[1 + B^\alpha \ln(\dot{\epsilon})]\exp(-T/C^\alpha) \quad (21)$$

with  $A^\alpha$ ,  $B^\alpha$  and  $C^\alpha$  material-dependent constants.  $\tau_{for}^\alpha$  represents the combined effect of strain hardening and dynamic softening.  $\tau_{sub}^\alpha$  reflects the stage III or IV hardening behaviour.

As shown in equations (15)–(21), the dislocation-based hardening model directly depends on deformation temperature and strain rate and can, therefore, predict the stress/strain response of hot deformed materials at temperatures different from those used for calibration of the hardening parameters. However, the Tomé model has more parameters (at least 10) compared to the phenomenological hardening model (only 3 for the Peirce model). The calibration process thus requires more measured stress/strain curves and is also more complex.

The crystal plasticity-based constitutive equations have been implemented in the UMAT subroutine of ABAQUS 6.10/standard based on the work by Huang (1991) for the computation of the slip increment.

### 3.3. Orientation Distribution Function (ODF) calculation

In order to describe the deformation texture developed during the PSC test on AA5052, the Orientation Distribution Function (ODF) value in the CPFE simulation was calculated using the Bunge's Gaussian distribution function (Bunge, 1982). For an orientation  $n$  with Bunge's Euler angles ( $\phi_1^n, \Phi^n, \phi_2^n$ ) and a volume fraction  $I^n$ , its ODF value at a given point ( $\phi_1, \Phi, \phi_2$ ) in the Euler space is given as

$$f^n(\bar{\omega}_0, \bar{\omega}) = I^n / (n_c n_s) S_0(\bar{\omega}_0) e^{-\bar{\omega}^2 / \bar{\omega}_0^2} \quad (22)$$

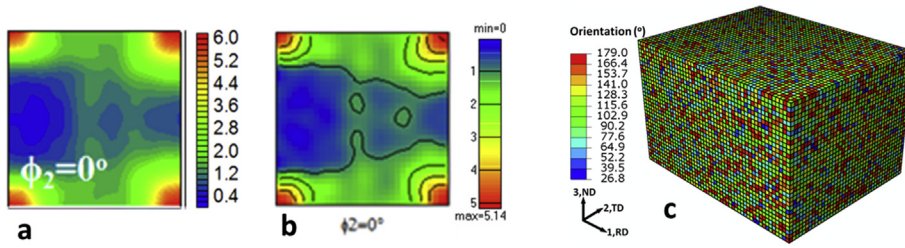
where  $S_0(\bar{\omega}_0) \approx 2\sqrt{\pi} / \{\bar{\omega}_0[1 - e^{-(\bar{\omega}_0/2)^2}]\}$  is the maximum ODF value,  $\bar{\omega}_0 = \text{FWHM} / (2\sqrt{\ln 2})$  a parameter in radians related to the Full Width at Half Maximum (FWHM) of the Bunge's Gaussian distribution,  $\bar{\omega}$  the misorientation angle also in radians between ( $\phi_1, \Phi, \phi_2$ ) and ( $\phi_1^n, \Phi^n, \phi_2^n$ ),  $n_c = 24$  and  $n_s = 4$  the numbers of crystal and sample symmetry for the PSC test on AA5052. It is worth pointing out that both crystal and sample symmetry need to be considered when one calculates the misorientation angle  $\bar{\omega}$  in equation (22). If there are  $M$  orientations simulated in the CPFE model, the ODF value is

$$f(\bar{\omega}_0, \phi_1, \Phi, \phi_2) = \sum_{n=1}^{n=M} f^n(\bar{\omega}_0, \bar{\omega}) \quad (23)$$

To make the ODF calculation more efficient, discrete Euler angles extracted from CPFE simulations are divided into data clusters, with the orientation in a cluster described by the Euler angles at its centre. The volume fraction in each cluster can then be calculated. From the Euler angles and volume fraction at the centre of each cluster, the ODF value is calculated using equations (22) and (23). The above ODF calculation method has been validated through comparison with the series expansion method used in the commercial software Channel 5.0 of the HKL EBSD system by plotting the starting texture of the aluminium alloy, as shown in Fig. 2(a) and (b), where the following parameters, FWHM = 13°, cluster size = 7° for the Bunge's Gaussian method, maximum expansion order  $l_{max} = 22$ , cluster size = 7° for the series expansion method, are used.

## 4. CPFE simulations of PSC tests

The starting texture of the as-received aluminium alloy AA5052 measured using EBSD shows a Cube-type {001}<100> texture typical of a recrystallised microstructure (Fig. 2(b)). The grains' orientation was randomly assigned to 64,000 cubic



**Fig. 2.** (a) Reconstructed ODF in the model, (b) as-received measured texture and (c) orientations distribution in the CPFE model.

finite elements (C3D8 - 8 nodes full integration solid element in Abaqus) in the CPFE model (50 elements along the RD direction, 40 along the TD direction and 32 along the ND direction) with one orientation per element (Fig. 2(c)). The model was compressed on the top and bottom surfaces to a reduction of 60% at a constant displacement rate, with the total deformation time matching the experimental value. The two surfaces parallel to the (ND-RD) plane were fixed and the surfaces parallel to the (TD-ND) plane were left free to deform. The plastic deformation in this aluminium alloy was simulated using the octahedral slip systems  $\{111\}\langle 110 \rangle$ .

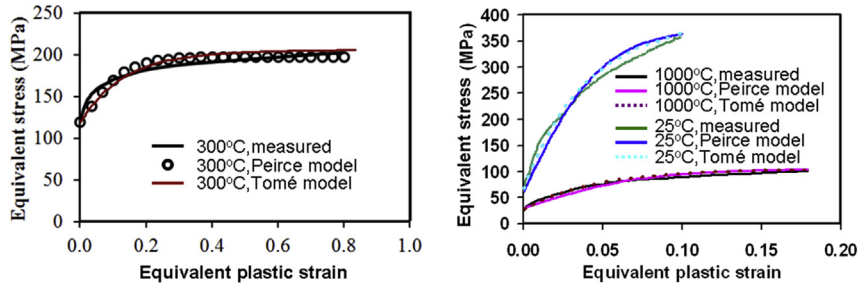
CPFE simulations for Fe-30wt%Ni alloy were carried using the same octahedral  $\{111\}\langle 110 \rangle$  slip systems as the material is Face-Centred-Cubic (FCC) at the two temperatures investigated in this work. Since the high nickel content stabilises iron over this range of temperatures, the phase transformation of this alloy was prevented, which was required to see the microgrids after cooling to room temperature. A FE mesh size corresponding to three times the step size used for EBSD measurements was used in order to obtain reasonable computing times, with measured orientations assigned to the elements. For the simulation at 1000 °C, a two-dimensional model under plane strain conditions was run, given the geometry of the test, using CPE4 elements (four nodes – plane strain) in Abaqus. The measured deformation of the microgrid area was used to estimate the displacements applied as boundary conditions for the simulated area in the CPFE model, following a similar approach to that reported in Héripré et al. (2007). A linear interpolation of the measured values was actually used for simplification. Top and bottom boundaries were compressed at a constant displacement rate, with the total deformation time matching the experimental value. Measured displacements were applied to the boundary on the left hand side while the right hand side was left free to move. As for the CPFE simulations run at room temperature, the element size in the model also corresponded to three times the step size used for EBSD measurements (3  $\mu\text{m}$ ). The two-dimensional grain structure revealed through orientation measurements was then extruded along the out-of-plane direction to a thickness of 9  $\mu\text{m}$  (Fig. 8(c)). The same C3D8 Abaqus elements as those used for the aluminium simulations were selected for the model. The tensile deformation measured using microgrids was used to apply a uniform and constant displacement rate on the top and bottom surfaces of the simulated area, while left and right hand side boundaries were left free to move.

## 5. Results

### 5.1. Calibration of hardening model parameters

The measured equivalent stress/plastic strain curves generated from the PSC tests were used to calibrate the hardening parameters used in the Peirce model and in the Tomé model as shown in Fig. 3. The calibrated values of the Peirce model parameters for AA5052 and Fe-30wt%Ni under different deformation conditions are shown in Table 1. The reference shear rate  $\dot{\gamma}_0$  is determined using the method suggested by Beyerlein and Tomé (2008):  $\dot{\epsilon}_{ij} = \sum_{\alpha} S_{ij}^{\alpha} \dot{\gamma}_0 \text{sgn}(\tau^{\alpha}) (\tau^{\alpha} / \tau_c^{\alpha})^{1/m}$  with  $S_{ij}^{\alpha}$  the Schmidt factor. Therefore,  $\dot{\gamma}_0 \approx M \dot{\epsilon}$  with  $M = 1 / \sum_{\alpha} S_{ij}^{\alpha}$  the Taylor factor (3.06 for FCC polycrystalline metals). The main calibrated hardening parameters used in the Tomé model are given in Tables 2 and 3. Since only three stress/strain curves were measured (one for AA5052 and two for Fe-30wt%Ni) for calibration, it is very difficult to identify all the model parameters in the Tome model.  $k_1^q$  and  $k_2^q$  were, therefore, treated and calibrated as two independent parameters in equation (15) in order to reduce the overall number of adjustable parameters. Equation (16) has, therefore, not been used to calculate the ratio of  $k_2^q/k_1^q$  in this work. The performance of the Peirce model and the Tomé model is shown in Fig. 3. It is clear that the two models can simulate the hardening behaviours of AA5052 and Fe-30wt%Ni reasonably well. However, they both underestimate the experimental stress values at the beginning of plastic deformation, but then overestimate them at a later stage, when deformation increases. Overall, the performance is similar for the two hardening models, although the calculated stresses are slightly closer to those measured for the Fe-30wt%Ni curve at room temperature using the Tomé model while the prediction at 1000 °C is about the same for the two models (Fig. 3(b)). However, the prediction obtained using the Tomé model appears worse than that produced by the Peirce model for the aluminium curve (Fig. 3(a)). Brahme et al. (2011) investigated the influence of hardening models, including both phenomenological and dislocation-based models, on the distribution of computed strain values in the microstructure of an aluminium alloy after uniaxial, in-plane plane strain and biaxial tension tests. Results clearly showed that the computed strain maps were very similar for both phenomenological and physically-





**Fig. 3.** Experimental and fitted equivalent stress/strain curves for (a) the PSC test on AA5052 at 300 °C, (b) the compression test at 1000 °C and the tensile test at room temperature on Fe-30wt%Ni.

**Table 1**

Hardening parameters of the Peirce model.

	Initial hardening modulus $h_0$ (MPa)	Initial critical shear stress $\tau_0$ (MPa)	Saturation critical shear stress $\tau_s$ (MPa)	Reference shear rate $\dot{\gamma}_0$	Young's modulus (GPa)	Strain rate sensitivity exponent $m$
AA5052, PSC, $9.0 \text{ s}^{-1}$ , 300 °C	65.0	40.0	66.0	$M\dot{\epsilon} \approx 27.0 \text{ s}^{-1}$	70	0.05
Fe-30wtNi, PSC, $1.0 \text{ s}^{-1}$ , 1000 °C	110.0	10.0	35.0	$M\dot{\epsilon} \approx 3.0 \text{ s}^{-1}$	180	0.05
Fe-30wtNi, tensile, $0.0001 \text{ s}^{-1}$ , room temperature	700.0	20.0	125.0	$M\dot{\epsilon} \approx 0.0003 \text{ s}^{-1}$	210	1/30

**Table 2**

Main hardening parameters used in the Tomé model.

	Hardening coefficient $k_f^a$ ( $\text{m}^{-1}$ )	Softening coefficient $k_s^b$	Shear modulus $\mu$ (GPa)
AA5052, PSC, $9.0 \text{ s}^{-1}$ , 300 °C	$3.0 \times 10^7$	8.89	26
Fe-30wtNi, PSC, $1.0 \text{ s}^{-1}$ , 1000 °C	$1.9 \times 10^7$	14.65	69
Fe-30wtNi, tensile, $0.0001 \text{ s}^{-1}$ , room temperature	$8.0 \times 10^7$	17.02	79

**Table 3**

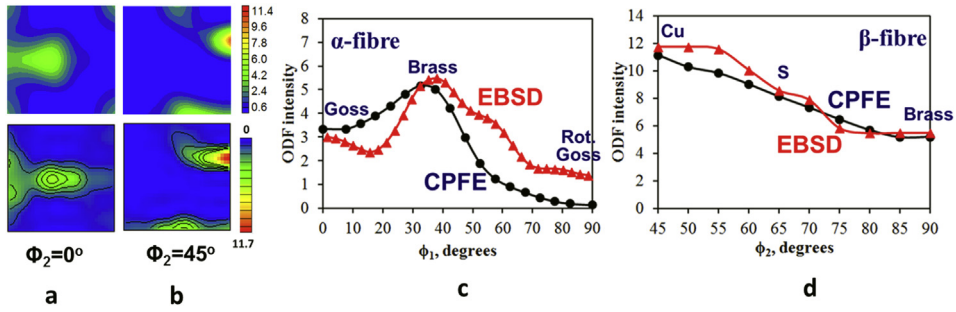
Parameters for calculating initial critical resolved shear stress in the Tomé model.

	$A^a$ (MPa)	$B^a$ (Knezevic et al., 2014)	$C^a$ (K) (Knezevic et al., 2014)
AA5052	57.0	0.053	630
Fe-30Ni	87.0	0.053	630

based hardening models, provided that the hardening model can simulate reasonably well the measured stress curves. Since, overall, the Peirce model provides a better fit of the experimental stress/strain curves, considering both aluminium and steel, for the particularly simple deformation conditions investigated in this work, and given the relatively higher level of uncertainty in the identified parameters of the Tomé model, due to the very small number of measured stress/strain curves, only simulations using the Peirce model have been run and are discussed next in this paper.

## 5.2. Texture prediction of AA5052 deformed at 300 °C

The comparison between predicted and measured deformation textures is shown in Fig. 4. In both cases, the main texture components ranging from high to low intensities are Copper  $\{112\}\langle 111 \rangle$ , S  $\{123\}\langle 634 \rangle$ , Brass  $\{011\}\langle 211 \rangle$  and Goss  $\{011\}\langle 100 \rangle$  with a minor Cube component (ODF value smaller than 2.4 times random). The ODF values along the  $\alpha$ -fibre (from Goss to Brass for the section  $\varphi_2 = 0^\circ$ ) and the  $\beta$ -fibre (from Copper to Brass through S) are plotted in Fig. 4(c) and Fig. 4(d) respectively. Despite discrepancies clearly visible mainly along the  $\alpha$ -fibre between simulation and experimental results, through the spread of the Brass and Copper components in Fig. 4(a) and Fig. 4(b) respectively, and by the small residual cube texture appearing in the modelling results (Fig. 4(a)), the CPFEE model predicts reasonably well the key texture components for this particular material and deformation conditions, not only in terms of location in the Euler space but also in terms of intensity.



**Fig. 4.** Sections of the Euler space at (a)  $\phi_2 = 0^\circ$  and (b)  $\phi_2 = 45^\circ$  showing the comparison between CPFE prediction (top) and experiments (bottom) with full distribution of orientations along (c) the  $\alpha$ -fibre and (d) the  $\beta$ -fibre (AA5052 material).

### 5.3. Intra-granular strain distributions at 1000 °C in Fe-30wt%Ni

Following the hot compression test carried out at 1000 °C, the specimen was carefully opened by removing the screws and separating the two parts of the specimen, which had remained in close contact, from naked-eye observations. Fig. 5(a) shows a picture of the deformed specimen with the grid location highlighted by the red rectangle (in the web version). As can be seen, the grid was slightly off-centre the deformation zone of the compression test due to inaccuracies in positioning the resin area to be irradiated in the SEM, using EBL, during the microgrid deposition procedure. Both the upper and lower parts of the deformed zone of the specimen show a white layer covering the surface of the specimen which might be the result of some form of oxidation. Fortunately, the penetration of this white layer did not reach the microgrids which survived the test, as can be seen in Fig. 5(b). However, some parts of the grid were not sufficiently visible to be included in the analysis. The procedure to compute strain values from distorted microgrids involves manual clicking on the grid intersections to record coordinates. Since Abaqus computes logarithmic strain values, similar large strain deformation values are computed from the distorted grids. For every microgrid element defined by its four corners' coordinates before ( $X_i$ ) and after deformation ( $x_i$ ) with  $i = 1, 2$ , the in-plane deformation gradient tensor  $\mathbf{F}$  can be computed from the two vectors (I and II) defining each microgrid element:

$$\mathbf{F} = \begin{pmatrix} x_1^I & x_1^{II} \\ x_2^I & x_2^{II} \end{pmatrix} \begin{pmatrix} X_1^I & X_1^{II} \\ X_2^I & X_2^{II} \end{pmatrix}^{-1} \quad (24)$$

$\mathbf{F}$  can be decomposed into a rigid rotation tensor  $\mathbf{R}$  and a distortion tensor  $\mathbf{U}$  as

$$\mathbf{F} = \mathbf{R}\mathbf{U} \quad (25)$$

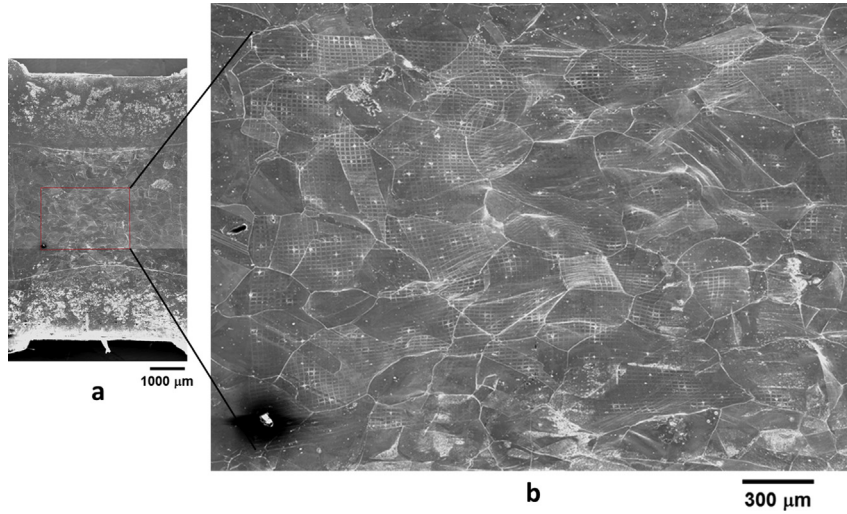
and  $\mathbf{U}$  can be diagonalised as

$$\mathbf{U} = \mathbf{Q}^t \mathbf{D} \mathbf{Q} \quad (\text{t stands for transposition}) \quad (26)$$

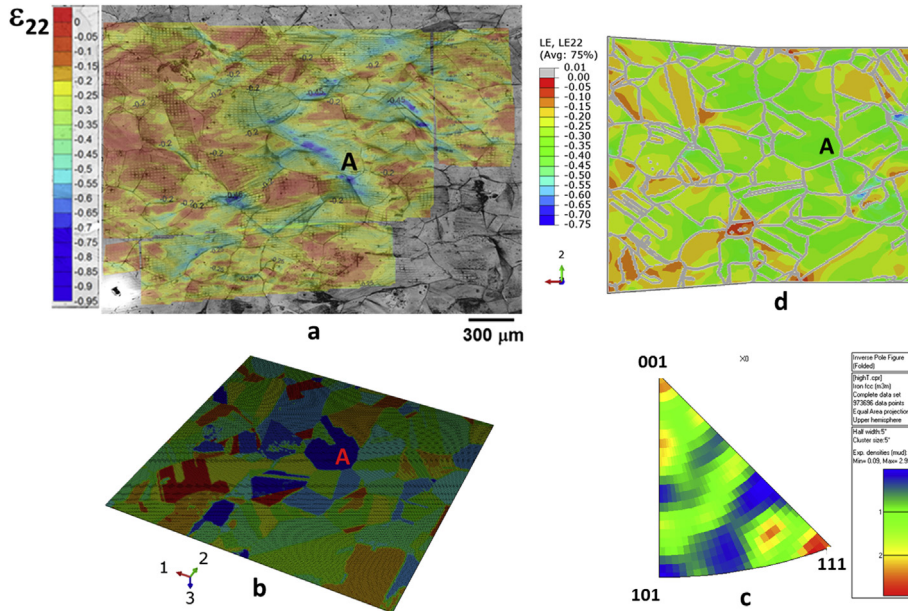
The logarithmic strain tensor can be computed from the diagonal tensor  $\mathbf{D}$  and the tensor  $\mathbf{Q}$  which gives the orientation of the principal directions of the distortion:

$$\mathbf{E}^{Log} = \mathbf{Q}^t \ln(\mathbf{D}) \mathbf{Q} \quad (27)$$

Fig. 6(a) shows the strain map for the component along the compression axis  $\epsilon_{22}$ . The off-centre location of the grid with respect to the overall deformation zone is evidenced on this strain map by the higher applied compression on the right hand side of the map. Results reveal the strong heterogeneity of deformation taking place at this temperature in the microstructure, with strain values ranging from 0 to −95% for 15% applied compression. Intense localised deformation bands, mostly running along grain boundaries at about 45° with respect to the compression axis, can also be observed. The strain frequency histogram in Fig. 7(a) quantifies this heterogeneity with a standard deviation of 13% while the average strain value of 23% in compression is in line with the applied strain measured from the distortion of the overall grid area (from 18% compression strain on the very left hand side of the grid to 25% on the right hand side). The area of the microstructure simulated using CPFE modelling is shown in Fig. 6(b) with the starting texture shown in terms of inverse pole figure in Fig. 6(c). Simulations results shown in Fig. 6(d) correspond to an area smaller than the total simulated area for comparison purposes with experimental results. Predicted strain distributions appear to differ significantly from experimental results in terms of strain distribution with the model not predicting the appearance of intense deformation bands at the locations seen in the experimental strain map. Even though strain frequency histograms in Fig. 7(a) show comparable average values between experimental and



**Fig. 5.** (a) Deformed specimen after compression at 1000 °C showing the location of the microgrid through the thickness and (b) a close-up view of the deformed microgrids (Fe-30wt%Ni alloy).

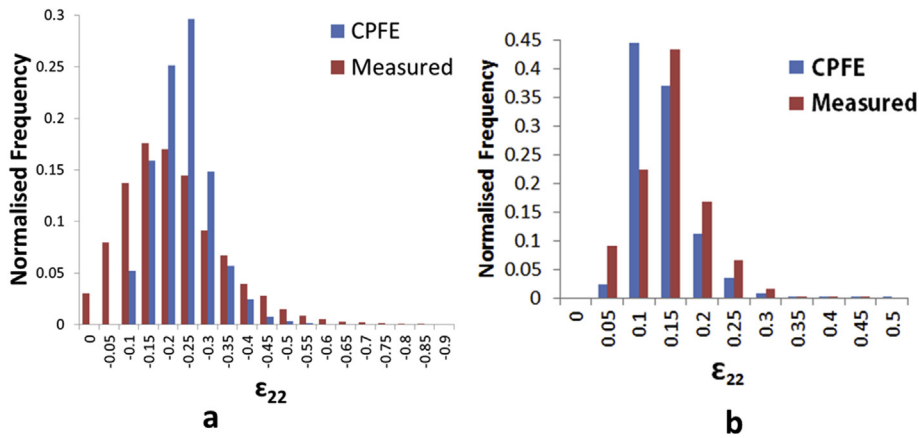


**Fig. 6.** (a) Strain map for the component along the compression direction for the test at 1000 °C, (b) CPFÉ model of the microstructure, (c) starting texture of the analysed area and (d) predicted strain map (Fe-30wt%Ni alloy).

modelling results with a simulation value of 25%, the range of strain values is under-predicted by the model (standard deviation equal to 7%) not only for high strain magnitudes but also for low ones.

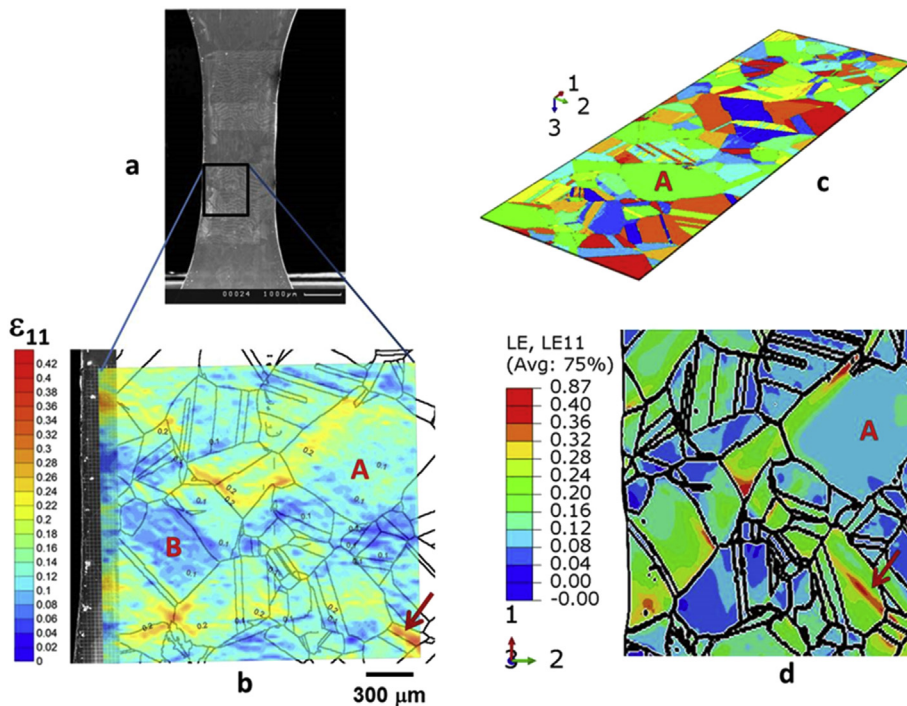
#### 5.4. Intra-granular strain distributions at room temperature in Fe-30wt%Ni

The deformed tensile specimen with the microgrid locations with respect to the gauge length is shown in Fig. 8(a). Only the microgrid area situated in the bottom part of the gauge length was analysed, since the other part of the specimen showed the initiation of some instability leading to the formation of damage at one of the edges of the specimen. The experimental strain map produced after analysis of the microgrid distortion is shown in Fig. 8(b) in terms of the tensile strain component  $\epsilon_{11}$ . Strain heterogeneity is clearly visible in the experimental strain map, with values ranging from 0 to 42% for an applied strain of 10%. Another clear feature in the strain map is the localisation of deformation along bands at about 45° with respect to the loading direction. These bands are predominantly located along grain boundaries. The strain frequency distribution



**Fig. 7.** Strain distribution histograms for the component along the main loading axis (a) at 1000 °C and (b) at room temperature (Fe-30wt%Ni alloy).

histogram is shown in Fig. 7(b). The average value of 12% is in line with the applied strain measured from the microgrids over the analysed area (12%) and the standard deviation value of 5% quantifies the strain heterogeneity shown in the map. CPFE simulations were carried out on the microstructural area shown in Fig. 8(c). Results shown in Fig. 8(d) for the area corresponding to the analysed microgrid area reveal similar features to those observed in the experimental result, with clear heterogeneity of deformation through localisation along bands running at 45° with respect to the tensile axis, and along the same grain boundaries for most locations. However, there are clear discrepancies between experimental and modelling results. The highest strain magnitude in the model is much higher than in the experiment, with a factor three between the two results, and a much more intense deformation band predicted by the model in the grain highlighted with an arrow in Fig. 8(d). The overall deformation of grain B is also lower in the experimental result than in the simulation. Despite discrepancies between modelling and experimental results, the CPFE model predicts reasonably well not only the overall statistical strain distribution, as shown in Fig. 7(b) (average value: 11.3% and standard deviation: 5%) over the analysed area, but also local strain localisation patterns within the grains, at least qualitatively.



**Fig. 8.** (a) Deformed tensile specimen showing the analysed microgrid area, (b) tensile component strain map, (c) CPFE model and (d) predicted strain map (Fe-30wt%Ni alloy).



## 6. Discussion

Simulations of deformation texture at high homologous temperature for the AA5052 aluminium alloy have shown the capability of the model to predict reasonably well the statistical response of a polycrystalline aggregate from comparison with EBSD measurements (Fig. 4). Published results have also shown similar agreement using grain interaction models (Engler et al., 2005; Jeong et al., 2015; Kabirian et al., 2015; Kalidindi et al., 2009; Mu et al., 2014; Pandey et al., 2013; Quey et al., 2012; M'Guil et al., 2015; Van Houtte et al., 2002; Xie et al., 2014; Zhang et al., 2015). The particular deformation conditions (high compression, relatively high strain rate and high temperature) applied to the investigated alloy have led to results which show a close match between model and experimental results in terms of main texture components. However, discrepancies, especially along the  $\alpha$ -fibre but also in terms of the small cube component which does not appear experimentally, show the limitations of current simulations. Such discrepancies do not seem to be related to a mesh size effect as another model involving 216,000 elements showed negligible differences in texture prediction. Despite the inaccuracies of the modelling results, which might partially be due to the simplistic representation of the microstructure, with only one orientation for every cuboidal element, the overall orientations distribution is reasonably well predicted by the CPFE model.

More detailed comparison between CPFE simulations and experimental results at the scale of the microstructure of an austenitic model alloy Fe-30wt%Ni deformed at 1000 °C have shown further discrepancies (Fig. 6) with lack of agreement mainly in terms of local strain distributions (Fig. 6(a) and (d)) but also in terms of the overall strain distribution histogram (Fig. 7(a)). Although the model predicts a high level of strain heterogeneity with a standard deviation value of 7%, it clearly under-estimates both the low and high ends of the distribution. There are no similar published results to allow comparison but the lack of prediction of the main intense deformation bands, which run mainly along grain boundaries in the experimental strain map, suggests very strong three dimensional effects not accounted for in the CPFE simulation. The morphology and crystallographic orientation of grains located below the surface are indeed likely to influence the local deformation of the microstructure at the surface, especially as the grain size to sample thickness ratio is very low in this case. Fig. 9(a) shows the map of the out-of-plane strain component which has been estimated by assuming plastic incompressibility. Results clearly show the high local strain values (up to 65% for about 23% applied strain) along some grain boundaries where the previously mentioned deformation bands appeared. Some locations in the map also display high negative values down to -35% in localised areas, which clearly show that the deformation at the scale of the microstructure is three dimensional due to the local configuration of the microstructure including the grains' geometry below the surface. Therefore, these high out-of-plane strain values have a strong influence on in-plane strain values, as shown by the correlation between the highest local strain values in Fig. 6(a) and those in Fig. 9(a). A two-dimensional model could, therefore, not predict these local three-dimensional effects which, in turn, influenced the formation of the intense bands of deformation along grain boundaries. However, the out-of-plane strain frequency histogram shown in Fig. 9(b) reveals that the average strain over the analysed area is 3.9% with a high standard deviation of 11%. This result shows that even though local out-of-plane strain values can be very high, the overall deformation of the analysed area is close to plane strain conditions, with local three dimensional effects being cancelled out over a large area of the microstructure. This confirms that the test geometry did not exactly generate plane strain conditions on the middle (RD,ND) plane of the specimen but the deviation from ideal conditions is very small. The analysis of the out-of-plane deformation of the microstructure explains why the model could not predict the high strain values recorded in the histogram shown in Fig. 8(a). However, despite this limitation related to the two-dimensional nature of the simulation, the model did predict a reasonable spread of strain values representative of the overall deformation of the microstructure.

Results from the room temperature test have confirmed the capability of the CPFE model to predict intragranular strain distributions from the similarities observed between simulated and experimental strain maps (Fig. 8(b) and (d) respectively). Despite some obvious differences between modelling and experimental strain distributions, the response of the simulated microstructure in terms of overall deformation and heterogeneity is well captured by the model (Fig. 7(b)), with very similar average strain and standard deviation values between the two histograms. Such agreement has also been reported in a recently published study using a full-field visco-plastic fast fourier transform crystal plasticity simulation applied to the room temperature deformation of a magnesium alloy (Martin et al., 2014), even though a direct comparison between experimental and

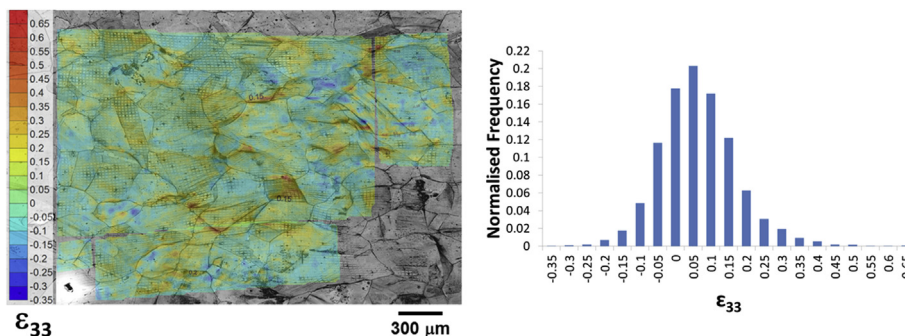


Fig. 9. (a) Out-of-plane strain component map and (b) corresponding histogram for the compression test at 1000 °C (Fe-30wt%Ni alloy).

modelling results could not be made since synthetic three dimensional microstructures were used in the simulation. In this work the relative close match between the predicted range of strain values and experimental results might be due to the accurate in-plane boundary conditions extracted from microgrid measurements and applied to the model. Some of the differences between measured and predicted distributions might be due to the different gauge lengths over which local strain values are calculated since the element size in the model is much smaller than the microgrid pitch. Intra-granular strain distributions shown in Fig. 8 highlight more discrepancies between the simulation and experiment with the highest strain values, albeit very local, differing by a factor two between the two results. Moreover, some high strain deformation bands predicted by the model (arrow in Fig. 8(d)) do not appear in the experimental strain map and the overall low deformation of grain B in Fig. 8(b) is over-predicted by the model. However, the main deformation features of the experimental map are predicted by the model in the form of localised bands of high strain values running at about  $45^\circ$  with respect to the loading direction and at similar locations along grain boundaries and within some twins (as highlighted by the arrow in Fig. 8(b)). Disagreement between modelling and experimental intragranular strain distributions is commonly found in the literature especially when dealing with polycrystals (Heripre, 2007; Kanjarla et al., 2010; Musienko et al., 2007; Pokharel et al., 2014; Raabe et al., 2001; Rossiter et al., 2010; Rotters et al., 2010; St-Pierre et al., 2008) with simulation results only qualitatively predicting trends in strain distributions. Instead, studies on oligocrystals have shown better results in terms of local strain distributions due to improved three-dimensional characterisation of the investigated microstructure (Delaire et al., 2000; Kalidindi et al., 2004; Klusemann et al., 2012, 2013; Lim et al., 2014; Turner et al., 2013; Zhao et al., 2008). Results from this work seem to fall in between with some relative good agreement for the main deformation bands, especially for the high applied deformation, and some clear discrepancies. This is likely due to the relatively coarse grain structure of this particular model alloy with respect to the small thickness of the specimen (1 mm). The differences between predicted and simulated strain maps are, therefore, likely to be mainly due to the inaccuracy of the model in predicting three dimensional effects due to the misrepresentation of the grains' geometry through the thickness. It is also worth pointing out that the mismatch between modelling and experimental stress/strain curves in Fig. 3(b) should also lead to inaccuracies in predicting strain values, especially in terms of their intensity. Furthermore, the flow stress curves computed from equations (1)–(6) are dependent on the estimated value of the friction coefficient during the test. Although the values used in this work might be inaccurate, they rely on expertise built over many years of testing aluminium alloys and steels and applying the friction correction to flow stress calculations. Results from Loveday et al., 2006 on the same aluminium alloy tested under very similar deformation conditions show variations of less than 10 MPa in flow stress values by varying the friction coefficient within a relevant range. Results on a stainless steel from the same paper also show that the effect of the friction coefficient is very noticeable at large strain due to changes in friction conditions at the contact surface between the anvil and the specimen after extensive deformation, but is very limited for small strain values such as that (15%) used to deform Fe-30wt%Ni at 1000 °C in this work. Such uncertainties related to the estimated friction coefficient values generate, however, further inaccuracies in the identification of the hardening parameters used in the CPFE model. Additional inaccuracies in local strain prediction at 1000 °C may also be due to physical mechanisms not currently considered in the CPFE model. Dislocation climb, for instance, might play a role in accommodating plastic deformation through a diffusion process at this high homologous temperature. However given the lack of understanding of how the dislocation climb mechanism operates in Fe30Ni at 1000 °C under the deformation conditions investigated in this study (relatively high strain rate), a simplified dislocation slip-based constitutive equation has been used. Despite all these sources of uncertainty the three dimensional grain structure is however believed to be the main cause of disagreement between simulation and experimental results. Therefore, results show the limitation of the CPFE predictions especially for situations involving small grain sizes compared to specimen thickness, and for which the geometry of the subsurface grain structure plays a significant role on the distribution of surface strains as reported in Turner et al. (2013). Zhang et al. (2015a), who investigated the deformation of the microstructure of a titanium alloy during tensile testing at room temperature using CPFE modelling, concluded that a geometrically realistic grain morphology, revealed through differential-aperture X-ray microscopy in their work, and physically meaningful boundary conditions applied to the model, were more important than fine-tuning the constitutive model parameters in order to accurately simulate heterogeneous deformation processes at the grain scale. This is in line with results obtained in this work and explains why the high temperature simulations could not predict accurately intra-granular strain distributions due to lack of knowledge about the geometry of the microstructure along the out-of-plane direction in Fig. 6. However, high homologous temperature texture results for the aluminium alloy and overall strain frequency histograms obtained at 1000 °C in this work suggest that the statistical response of a polycrystal aggregate can be reasonably well predicted using the CPFE model while local deterministic predictions appear unrealistic without three dimensional information of the microstructure geometry. Results obtained from this work have, therefore, shown both the capability and limitations of CPFE simulations and provide confidence into the statistical prediction of microstructure evolution in metals deformed at high homologous temperatures typical of hot forming operations. The model could also be, in principle, further improved by using more physically-based constitutive equations, such as those investigated in this work, to predict the response of hot deformed materials at temperatures different from those used for calibration of the hardening parameters, provided that a sufficient number of measured stress/strain curves is available for this calibration.

## 7. Conclusion

The reliability of CPFE simulations of the deformation of metals has been assessed in this work with a focus on high temperature applications relevant to the processing of metals during industrial hot forming operations. A first case study



carried out on a AA5052 aluminium alloy deformed at 300 °C using a PSC test has shown that deformation texture simulations can predict quantitatively the main texture components of FCC metals (Copper, S, Brass and Goss in order of intensity). A second case study carried out on an austenitic steel deformed at 1000 °C through a PSC test, with a new experimental procedure developed to measure intra-granular strain distributions using microgrids in combination with EBSD measurements, has shown the limitation of deterministic CPFE simulations. The small grain size to specimen thickness ratio prevented successful results in terms of strain map prediction while overall statistical results, even though slightly under-predicted, were reasonably simulated. The third case study corresponding to a tensile test carried out on the same steel inside a SEM with intra-granular strain distributions measured using microgrids showed that the CPFE model can predict reliably not only the statistical response of the polycrystal in terms of strain frequency distributions but also the main deformation features observed experimentally in the form of localised deformation bands running along grain boundaries. Clear discrepancies between model and experimental results have however been observed and are believed to be due to three dimensional effects not captured by the simplified geometry of the grain structure through the thickness of the sample in the model. This effect is further enhanced at high temperature where the grain size to specimen thickness ratio is very small. Overall this study has therefore provided confidence in the potential for using CPFE simulations for the prediction of the high temperature behaviour of metals during metal forming operations in terms of polycrystals deformation. Distributions of internal variables, such as the energy stored during deformation for instance, can then be used with subsequent simulations of recrystallization or phase transformation for the prediction of microstructure evolution during the hot processing of metals.

## Acknowledgements

The authors are grateful for the financial support provided by EPSRC under the grants EP/F023464/1 and EP/E063497/1 and to Tata Steel for sponsoring M.F. Kiu and for providing the steel used in this work. They also wish to thank Dr Zulfiqar Husain and Mr Gary Claxton for their help in conducting the tests on the Gleeble machine.

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